

03/14/01

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PTO/SB/05 (12/97)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

STL9-2000-  
0074US1

Total Pages

470

First Named Inventor or Application Identifier

James Robert Davis

Express Mail Label No.

EL777328848US

J1056 U.S. P.O.  
09/808487  
03/14/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **32**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Application
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets **3**]
4. Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 [X] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certificate

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No**18 CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

21552

or ☐ Correspondence address below

NAME

John R. Thompson

ADDRESS

CITY

STATE

ZIP

COUNTRY

TELEPHONE

FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, D.C. 20231.

Please type a plus sign (+) inside this box → +

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin-top: 10px;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid See forms PTO/SB/09=12 See 37 C.F.R. §§ 1.27 and 1.28</p>		<p><b>Complete If Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>March 14, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>James Robert Davis</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>STL9-2000-0074US1</td> </tr> </table>		Application Number		Filing Date	March 14, 2001	First Named Inventor	James Robert Davis	Group Art Unit		Examiner Name		Attorney Docket Number	STL9-2000-0074US1																																																																																																																																																																																																																																																																																								
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<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Deposit Account Number</td> <td style="width: 50%; text-align: center;">13-0763</td> </tr> <tr> <td>Deposit Account Name</td> <td></td> </tr> </table> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17      <input type="checkbox"/> Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed</p> <p><input type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input checked="" type="checkbox"/> Other Credit Card</p>		Deposit Account Number	13-0763	Deposit Account Name		<p><b>FEE CALCULATION (continued)</b></p>																																																																																																																																																																																																																																																																																																	
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140	110	240	55	Petition to revive - intentional																																																																																																																																																																																																																																																																																																			
141	1240	241	320	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																			
142	1240	242	620	Utility issue fee																																																																																																																																																																																																																																																																																																			
143	440	243	220	Design issue fee																																																																																																																																																																																																																																																																																																			
144	600	244	300	Plant issue fee																																																																																																																																																																																																																																																																																																			
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																			
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																			
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																			
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																																																																																																																		
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																			
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																			
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<b>SUBTOTAL (3)</b>					<b>40</b>																																																																																																																																																																																																																																																																																																		

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name    John R. Thompson				Reg Number    40,842	
Signature				Date    Mar 14, 2001	Deposit Account User ID

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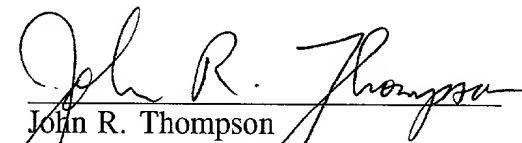
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Date of Deposit: March 14, 2001

I hereby certify that this patent application in the name of James Robert Davis, Gerald Dean Hughes, Steve T. Kuo, Thomas Clarke Morrison, and Jack Chiu-Chiu Yuan for SYSTEM AND METHOD FOR ENSURING CLIENT ACCESS TO MESSAGES FROM A SERVER, together with the drawings, a joint signature Declaration, Power of Attorney, and Petition, an Assignment, Information Disclosure Statement, PTO Form 1449, Copies of cited references, and Credit Card Payment Form for \$750.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

  
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